

INDEX DESCRIPTION

The IPOX[®] Alpha Plus Index (IPXT) is an actively managed applied market-cap weighted index tracking the top 100 Newly Public U.S.-listed companies with the highest ESG ratings based on the proprietary IPOX[®] ESG Rating System. Selected from the IPOX[®] U.S. Composite Index, IPXT highlights firms demonstrating leadership in sustainability, social responsibility, and governance. The index is reconstituted and adjusted quarterly. It provides investors with targeted ESG-focused exposure to innovation and sustainable growth among newly public companies.

INDEX INFORMATION

Ticker IPXT

CUMULATIVE TOTAL RETURN (USD)

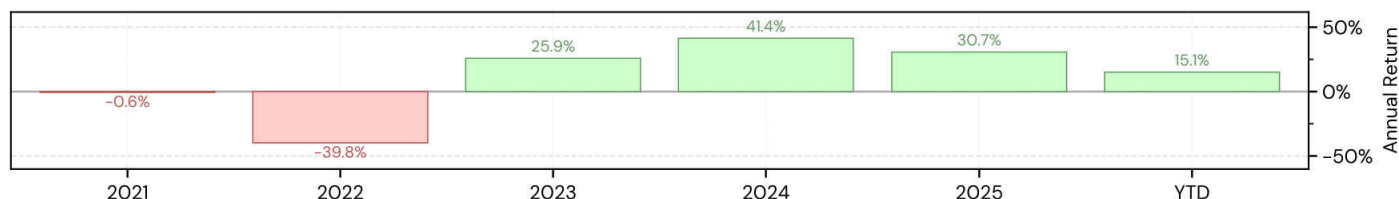
Since Launch (March 23, 2020)



YTD (as of October 22, 2026)



IPOX[®] ALPHA PLUS INDEX – ANNUAL TOTAL RETURN (%)



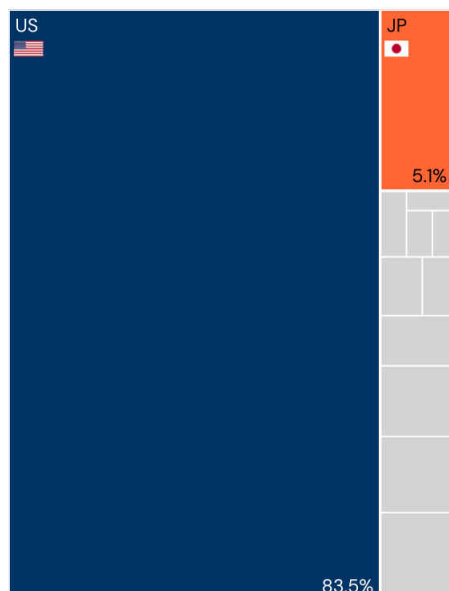
RETURN STATISTICS	RETURNS		ANNUALIZED RETURNS			
	1 MONTH	YTD	1 YEAR	2 YEAR	3 YEAR	5 YEAR
IPOX [®] Alpha Plus Index	-10.18%	15.09%	10.20%	24.84%	36.05%	7.21%
MSCI USA ESG Leaders Index	-0.63%	13.48%	17.31%	16.38%	23.97%	12.64%

RISK STATISTICS	3-YEAR STATISTICS			ANNUALIZED RISK		
	ALPHA	BETA	CORRELATION	2 YEAR	3 YEAR	5 YEAR
IPOX [®] Alpha Plus Index	0.11%	1.56	0.80	33.15%	30.21%	30.65%
MSCI USA ESG Leaders Index	-	1.00	1.00	16.51%	15.52%	17.36%

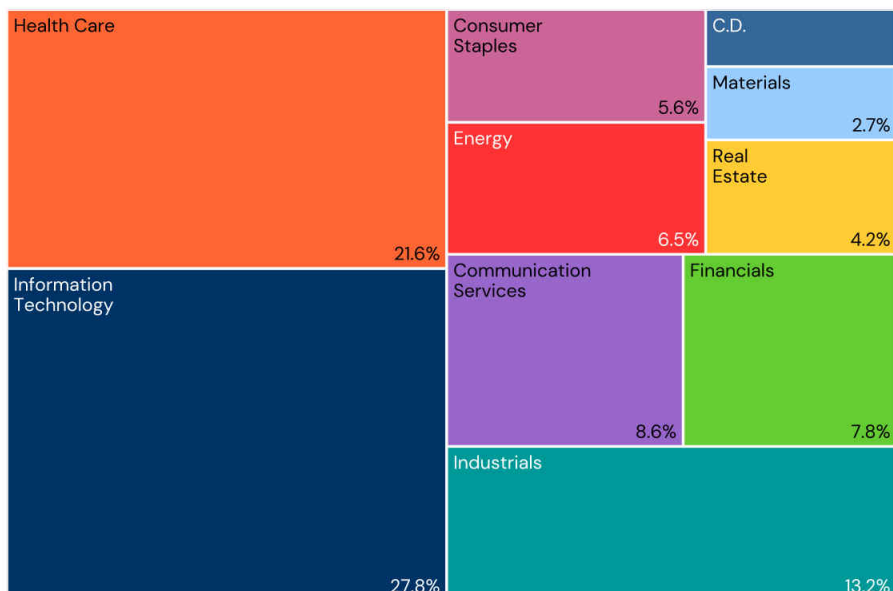
INDEX STATISTICS	
NUMBER OF CONSTITUENTS	100
INDEX LEVEL	7,763.65
DIVIDEND YIELD (%)	0.56%
DEVELOPED/EMERGING MKT (%)	98.2/1.8
ETF/RIC COMPLIANT	YES
MARKET CAP (USD BILLIONS)	
TOTAL	8,432.43
AVERAGE	86.05
MEDIAN	7.12
MAX	3,946.09
MIN	0.50

TOP 10 HOLDINGS	
Full Index Holdings Available for IPOX [®] Plus Subscribers	

COUNTRY



GICS SECTOR



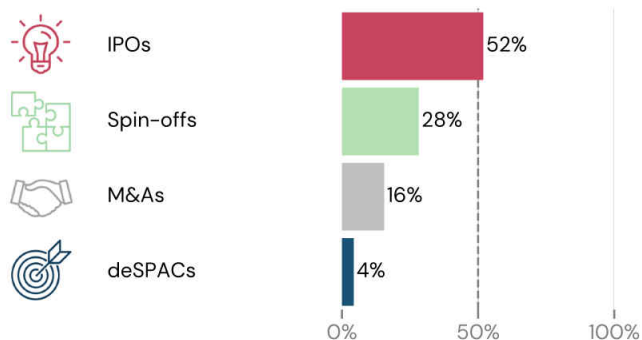
C.D. = Consumer Discretionary (2.1%)

FACTOR EXPOSURE

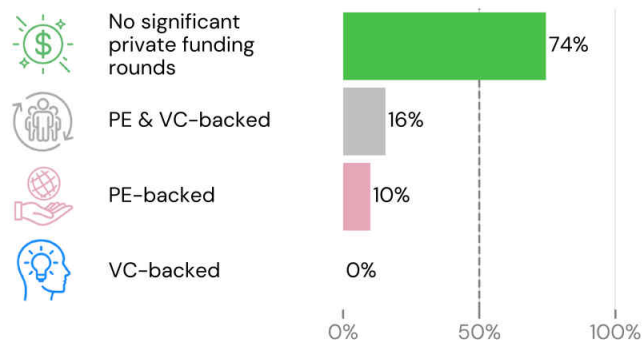
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IPOX uses the 12-month beta coefficients as the factor exposure whereby IPOX excess returns to the MSCI USA ESG Leaders Index are regressed against a set of MSCI factor indices. This differs from the fundamental factor model, e.g. BARRA or Axioma model that are based on cross-sectional ranking and are generally in z-score form.

DEAL TYPE



FUNDING SOURCE



ABOUT IPOX®

IPOX Schuster, LLC ("IPOX") is an independent and innovative Financial Services firm specialized in Financial Products Design related to global New Listings, such as Initial Public Offerings (IPOs), Spin-offs and respective M&A ("IPO M&A"). IPOX is the pioneer investment decision support tools related to New Listings and provides asset allocation solutions for investors to this underrepresented, unique and dynamic space which often also serves as a unique proxy or innovation and economic growth. Active and passive IPOX®-linked products, as well as custom tailored solutions for clients representing more than USD 4.0 billion have been launched since 2005 in all world regions. Chicago-IL based with an overseas representation in Edinburgh, Scotland, IPOX has its roots in academic work pursued in the Financial Markets Group (FMG) at the London School of Economics (LSE). To learn more, please write to info@ipox.com or visit www.ipox.com.